

**Disclosure of Complaint Data by The Hongkong and Shanghai Banking Corporation Limited for
Portfolio Advisory Services**

Data for the month ending – September 2023							
Sr no	Received from	Pending at the end of last month	Received	Resolve*	Total Pending#	Pending complaints > 3 mths	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints					
SN	Year	Carried forward from previous year	Received	Resolved**	Pending##
1	October 2022	NA	NA	NA	NA
2	November 2022	NA	NA	NA	NA
3	December 2022	NA	NA	NA	NA
4	January 2023	NA	NA	NA	NA
5	February 2023	NA	NA	NA	NA
6	March 2023	NA	NA	NA	NA
7	April 2023	NA	NA	NA	NA
8	May 2023	NA	NA	NA	NA
9	June 2023	NA	NA	NA	NA
10	July 2023	0	0	0	0
11	August 2023	0	0	0	0
12	September 2023	0	0	0	0

Trend of annual disposal of complaints					
SN	Year	Carried forward from previous year	Received	Resolved**	Pending##
1	2020-2021	NA	NA	NA	NA
2	2021-2022	NA	NA	NA	NA
3	2022-2023	NA	NA	NA	NA
	Grand Total	NA	NA	NA	NA

** Inclusive of complaints of previous years resolved in the current year. ## Inclusive of complaints pending as on the last day of the year.